

TRUST BOARD IN PUBLIC		Date: 30th October 2014	
		Agenda Item: 4.6	
REPORT TITLE:		FOUNDATION TRUST PROGRESS UPDATE	
EXECUTIVE SPONSOR:		Michael Wilson Chief Executive	
REPORT AUTHOR:		Gillian Francis-Musanu Director of Corporate Affairs	
REPORT DISCUSSED PREVIOUSLY: (name of sub-committee/group & date)		FT Project Board 2.10.14	
Action Required:			
Approval	Discussion	Assurance (√)	
Summary of Key Issues			
This paper provides the Board with an update on progress for the Stage 3 milestones for the Foundation Trust application and other key activities.			
Relationship to Trust Strategic Objectives & Assurance Framework:			
SO5: Well – led			
Corporate Impact Assessment:			
Legal and regulatory implications		<p>The NHS Trust Development Authority (TDA) oversees all aspects of NHS trust performance.</p> <p>The TDA has a key role in supporting NHS trusts to develop their FT application and is responsible for approving all applications prior to submission to Monitor.</p> <p>Monitor, the Independent Regulator of NHS Foundation Trusts, undertakes its own assessment of FT applications to determine whether or not to authorise an NHS trust as a foundation trust.</p>	
Financial implications		NHS foundation trusts are required to comply with Monitors Risk Assessment Framework.	
Patient Experience/Engagement		<p>NHS foundation trusts are membership organisations with a Council of Governors which represents the interests of members of the trust and the public.</p> <p>The Council of Governors holds the non-executive directors to account for the performance of the Board of Directors.</p>	
Risk & Performance Management		NHS foundation trusts operate under licence from Monitor which stipulates any condition under which the trust must operate.	

	Compliance with governance and continuity of service licence provisions is through the Monitor Risk Assessment Framework.
NHS Constitution/Equality & Diversity/Communication	NHS foundation trusts have their own constitution which reflects the requirements of the Health and Social Care Act 2012.
Attachments:	
N/A	

TRUST BOARD REPORT – 30th October 2014

FOUNDATION TRUST UPDATE

1. Introduction

This paper provides an update to the Trust Board on progress for each of the outstanding Stage 3 milestones and other key activities:

- Inspection by the Chief Inspector of Hospitals
- TDA Board to Board
- Financial Due Diligence review
- Membership recruitment and engagement
- Governor Awareness & Elections
- Continuing implementation of the Board and Quality Governance Assurance
- Frameworks
- Development of the Integrated Business Plan (IBP), Long Term Financial Model (LTFM) and supporting strategies

2. Chief Inspector of Hospitals / CQC Inspection

The Chief Inspector of Hospitals / CQC Inspection took place from 20th May – 22nd May with the Quality Summit taking place on 1st August where the Chief Inspector's Judgement was given to the Trust. The inspection report was published on 6th August 2014 where the Trust was given an overall "Good" judgement. This now enables the Trust to move forward in the FT application timeline.

3. Trust Development Authority (TDA) Board to Board

The formal Board to Board meeting between TDA and the Trust is due to be held on 19th November 2014 as the final stage (3) of the TDA assessment and approvals phase. The outcome of which will determine the Trust moving forward to the Monitor assessment phase.

4. Financial Due Diligence

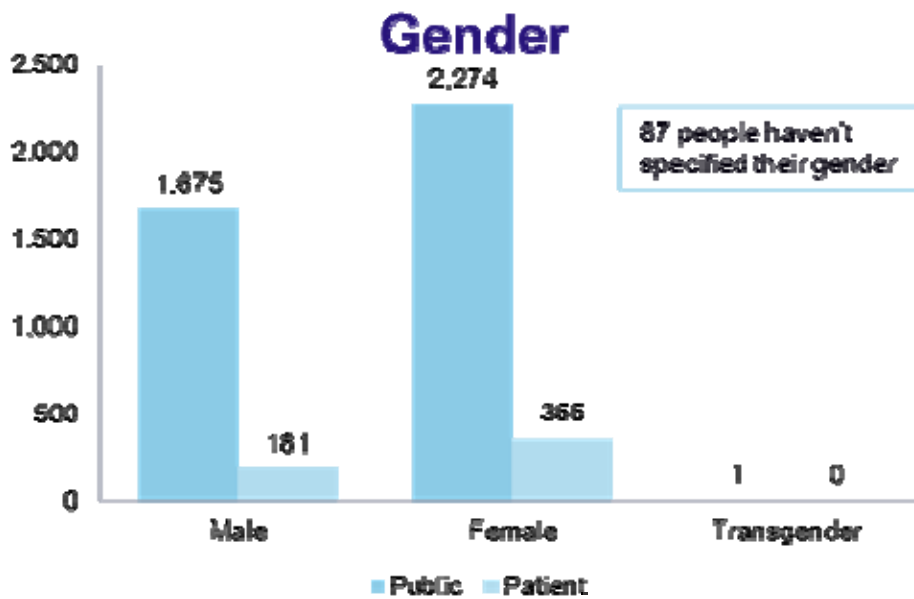
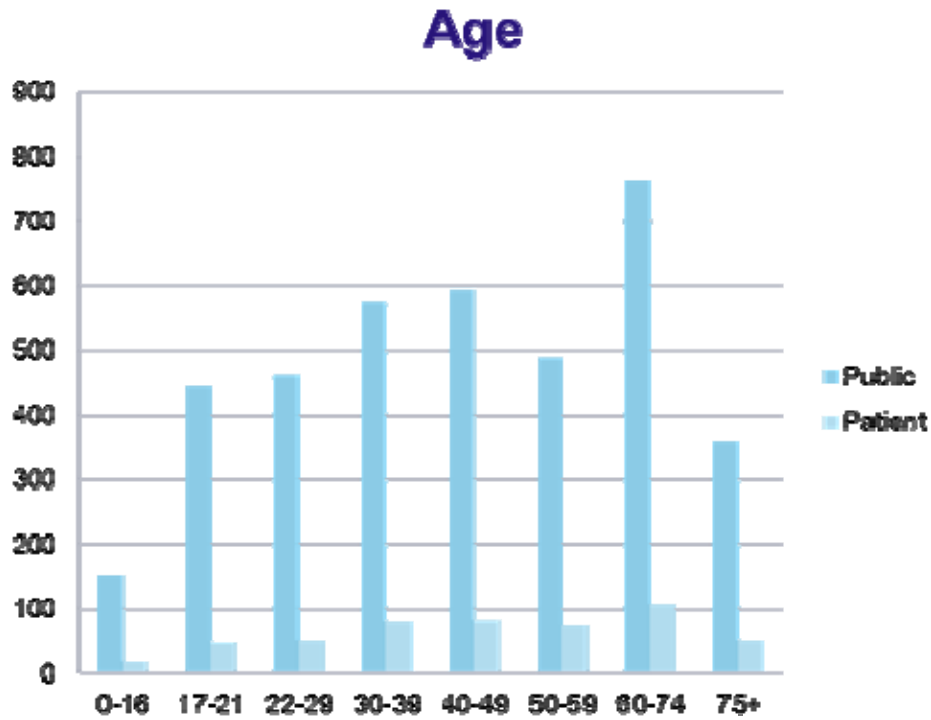
The process for the financial due diligence review is currently being revised by Monitor with details of the likely process and timing not yet known. However, the TDA has confirmed that the Trust will undergo Historical Financial Due Diligence as part of the Monitor assessment phase.

5. Membership Recruitment & Engagement

At the current time of drafting this report overall membership stands at 8,073 against an overall target of 9,500. This includes patient and public membership of 4,573 and staff membership of 3,500. Current analysis of patient and public membership by age, gender and constituency is reported below.

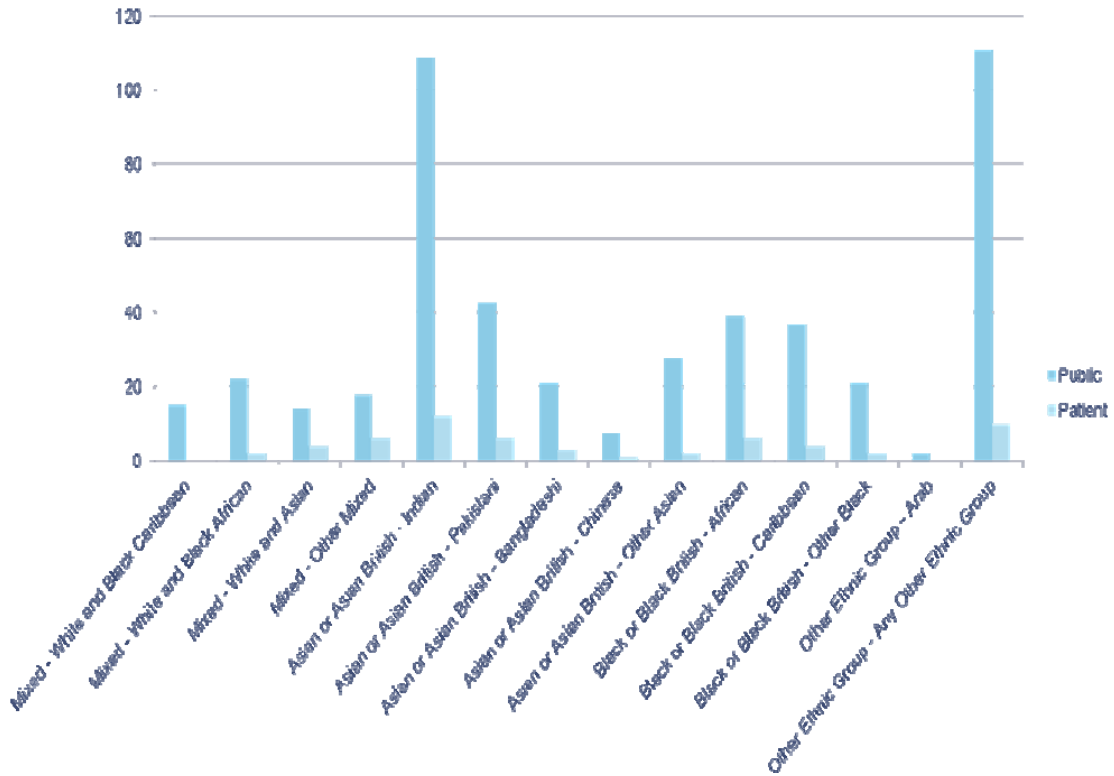
Patient & Public Membership Analysis

Patients: 545 (out of area)
Public: 4,028
TOTAL 4,573

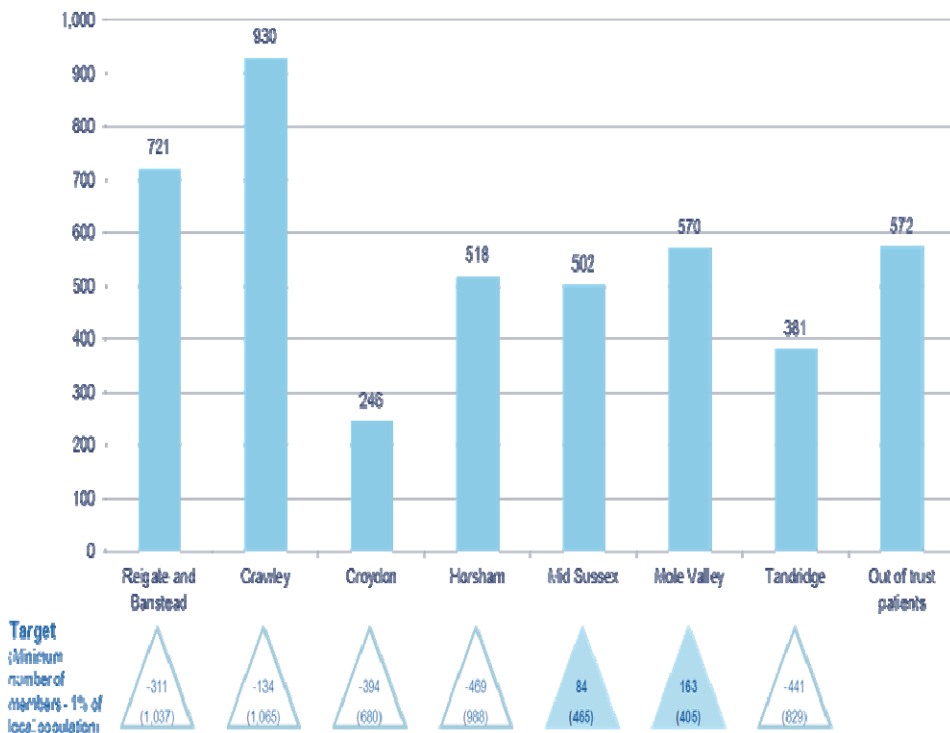


Ethnic Origin

3,814 members are from white backgrounds.
The remaining are from the following backgrounds.



CONSTITUENCIES



Membership engagement activities continue and include:

- Additional governor awareness sessions held in October
- A series of 'Hot Topic' events, beginning with the Emergency Department in November 2014
- A membership engagement plan is in development including increasing membership once FT status has been achieved

6. Governor Awareness Events & Elections

The Trust has also confirmed that Electoral Reform Services is their provider of Governor Election Services. The TDA have confirmed that elections should take place after the formal Board to Board leading into the Monitor assessment phase. The Trust has a provisional election timescale of 55 days for governor elections from end of November/December 14 – end of February 2015.

The Trust has run four governor awareness sessions for patients and public with a total of 80+ people attending plus there have been an additional increasing number of enquiries about the Governor role. The Trust has also held specific sessions and provided information for staff.

7. Board and Quality Governance Assurance Frameworks

Implementation of the action plans for both the Board and Quality Governance Assurance Frameworks (BGAF and QGAF) is on-going. The Trust undertook an internal QGAF self-assessment at the end of August and Deloitte has undertaken a re-refresh of the external re-assessment of the QGAF in September/October 2014 and a separate report on the outcome has been prepared.

8. Refresh of IBP, LTFM and other key application documents

The IBP and key application documents (including the LTFM, and supporting strategies and appendices) have been updated and submitted to the TDA on 20th October 2014 prior to the formal Board to Board.

9. Summary

The FT programme remains on plan with good progress against the Stage 3 milestones and other key activities as described above.

Michael Wilson
Chief Executive
30th October 2014